

# CRYSTALS, INSTANTONS AND QUANTUM TORIC GEOMETRY\*

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We describe the statistical mechanics of a melting crystal in three dimensions and its relation to a diverse range of models arising in combinatorics, algebraic geometry, integrable systems, low-dimensional gauge theories, topological string theory and quantum gravity. Its partition function can be computed by enumerating the contributions from noncommutative instantons to a six-dimensional cohomological gauge theory, which yields a dynamical realization of the crystal as a discretization of spacetime at the Planck scale. We describe analogous relations between a melting crystal model in two dimensions and  $\mathcal{N} = 4$  supersymmetric Yang-Mills theory in four dimensions. We elaborate on some mathematical details of the construction of the quantum geometry which combines methods from toric geometry, isospectral deformation theory and noncommutative geometry in braided monoidal categories. In particular, we relate the construction of noncommutative instantons to deformed ADHM data, torsion-free modules and a noncommutative twistor correspondence.

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## 1. Introduction

A classical instanton is a connection on a smooth  $SU(r)$  vector bundle  $E$  over an oriented Riemannian four-manifold  $X$  with anti-self-dual curvature two-form  $F_A$ , *i.e.*

$$*F_A = -F_A, \quad (1.1)$$

where  $*$  denotes the Hodge duality operator on  $X$ . Such field configurations are labelled by their “topological charge”, which is the instanton number

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defined as the second Chern class

$$c_2(E) = \frac{1}{8\pi^2} \int_X \text{Tr}(F_A \wedge F_A) = k \in H^4(X, \mathbb{Z})$$

of the bundle  $E$ . (The first Chern class  $c_1(E) = 0$ .) The prototypical example is the case of instantons on the four-sphere  $X = S^4$ . In this case, there are one-to-one correspondences between the following classes of objects:

1. Instantons on the Euclidean four-plane  $\mathbb{R}^4$  of topological charge  $k$  and finite Yang–Mills energy.
2. Rank  $r$  holomorphic vector bundles  $E$  over the complex projective plane  $\mathbb{P}^2$  with  $c_2(E) = k$  which are trivial on a projective line  $\mathbb{P}^1$  at infinity.
3. Linear algebraic ADHM data.
4. Rank  $r$  holomorphic vector bundles  $E$  over the projective three-space  $\mathbb{P}^3$  with  $c_2(E) = k$  which are trivial on a  $\mathbb{P}^1$  at infinity, have vanishing cohomology  $H^1(\mathbb{P}^3, E(-2)) = 0$ , and satisfy a certain reality condition.

The first equivalence follows since one can glue together local connections on the northern and southern hemispheres of  $S^4$ , with suitable boundary conditions at infinity in  $\mathbb{R}^4$ , to produce a global instanton [1]. The second equivalence is known as the *Hitchin–Kobayashi correspondence* and it gives a construction of the instanton moduli space in algebraic geometry [2]. The third equivalence gives an explicit construction of the instanton connections on  $\mathbb{R}^4$  through solutions of the celebrated ADHM matrix equations [3]. The fourth equivalence yields the *Atiyah–Penrose–Ward twistor correspondence* which can be used to explicitly construct instantons on  $S^4$  [4].

The anti-self-duality equations (1.1) have a natural generalization to higher-dimensional Kähler manifolds  $X$  called the Donaldson–Uhlenbeck–Yau equations [5]. Irreducible gauge connections which solve these equations are in one-to-one correspondence with stable holomorphic vector bundles over  $X$ ; they naturally arise in compactifications of heterotic string theory as the condition for at least one unbroken supersymmetry in the low-energy effective field theory. Of particular interest are the cases in which  $X$  is a toric manifold, like the original example  $\mathbb{R}^4 \cong \mathbb{C}^2$ . In this case, the torus symmetries of  $X$  lift to the instanton moduli space and the powerful techniques of equivariant localization can be used to compute the exact instanton contributions to the partition functions of supersymmetric gauge theories on  $X$  [6, 7, 8]. Besides their intrinsic interest as exactly solvable models which

capture physical regimes of more realistic quantum field theories, these partition functions also enumerate BPS bound states of D-branes in Type II string theory in certain regions of the moduli space. Instanton counting has also found applications in geometry through the computation of enumerative invariants of manifolds, *e.g.* the Seiberg–Witten [6] and Donaldson invariants [9] when  $\dim_{\mathbb{C}} X = 2$ , and the Donaldson–Thomas invariants when  $\dim_{\mathbb{C}} X = 3$  [10, 11].

The enumeration of instantons on a general toric  $d$ -fold  $X$  in the approach of [8, 11] is somewhat heuristic. It begins with the *local* enumeration of (generalized) noncommutative instantons on each torus invariant open patch  $\mathbb{C}^d \subset X$ . A Moyal deformation of these patches is simple enough to enable explicit construction of the instanton connections in this case, whose contributions to the partition function can then be assembled to global quantities using the gluing rules of (commutative) toric geometry. This construction gives rise to a crystalline structure of spacetime, which as an integrable model of lattice statistical mechanics has many interesting features in its own right. We will interpret this crystal model as a quantization of spacetime geometry at the Planck scale, induced by quantum gravitational fluctuations which are effectively encoded in the dynamics of noncommutative instantons. When  $d = 3$  we will give a very precise dynamical realization of all these correspondences, while for  $d = 2$  we can give an explicit construction of the instanton moduli space and its associated gauge connections.

Although this heuristic picture is nice and certainly very useful, one would like to go beyond it somewhat by finding a *global* notion of “noncommutative toric variety”, and the construction of instantons thereon. This would cast the picture of dynamical quantum geometry into the more precise and rigorous framework of noncommutative geometry. Another reason is that such varieties naturally arise in string geometry. For example, chiral fermions on a “quantum curve” can be embedded in string theory as a collection of intersecting D-branes in a background supergravity  $B$ -field. Such a configuration is described mathematically by a holonomic  $D$ -module [12], roughly speaking a representation or sheaf over an algebra of differential operators. In certain instances, there is an equivalence between categories of  $D$ -modules and of modules on a noncommutative variety. Our constructions give examples of such noncommutative varieties, and hence of the quantum geometries eluded to in [12]. The simplest example of this correspondence is between the right ideals of the Weyl algebra  $\mathbb{C}[z, \partial_z]$ , *i.e.* the algebra of differential operators on the affine line, and line bundles on a noncommutative  $\mathbb{P}^2$  [13]. In turn, vector bundles on noncommutative  $\mathbb{P}^2$  correspond to instantons on a noncommutative  $\mathbb{R}^4$  [14, 15]. Hence the construction of instantons on noncommutative toric varieties produces a sharper picture of

the dynamically induced quantum geometry.

## 2. Crystal melting in three dimensions

In this section we introduce the melting crystal model and describe its statistical mechanics. We relate it to enumerative problems in combinatorics and toric geometry, and explain its interpretation in string theory. We then relate the model to an integrable hierarchy and recast it as a matrix model, which leads into our first gauge theory characterization of the crystal in terms of Chern–Simons theory. We defer describing the relationship with noncommutative instantons to later sections, and begin with the three-dimensional case wherein the complete story is best understood.

### 2.1. Statistical mechanics and random plane partitions

The model of a melting crystal corner was introduced in [16] and is depicted in Fig. 1. The crystal is a rectangular array of unit cubes located

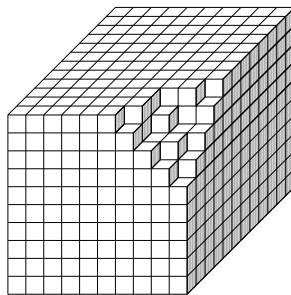


Fig. 1. Melting crystal corner in three dimensions.

in the positive octant of  $\mathbb{R}^3$ . It melts starting from its outermost right-hand corner according to the *melting crystal rule*: a cube located at  $(I, J, K) \in \mathbb{Z}_{\geq 0}^3 \subset \mathbb{R}^3$  evaporates if and only if all cubes located at  $(i, j, k)$  with  $i \leq I$ ,  $j \leq J$  and  $k \leq K$  have already evaporated; this rule roughly states that an atom can be removed only if all atoms on top of it have been removed. Removing each atom from the corner of the crystal contributes a factor  $q = e^{-\mu/T}$  to the Boltzmann weight, where  $\mu$  is the chemical potential and  $T$  is the temperature.

We can map this model onto a combinatorial problem by piling cubes in the corner of a room as they are removed from the crystal. This is depicted in Fig. 2. The melting crystal rule implies that piling  $\pi_{i,j}$  cubes vertically at position  $(i, j, 0)$  gives a rectangular array of positive integers  $\pi = (\pi_{i,j})$  such that the entries of  $\pi$  decrease as we move along the rows and columns,

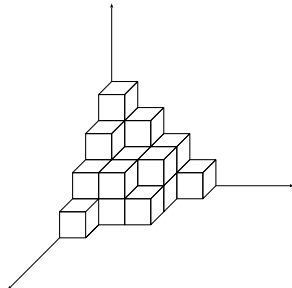


Fig. 2. A three-dimensional Young diagram.

*i.e.*  $\pi_{i,j} \geq \pi_{i+1,j}$  and  $\pi_{i,j} \geq \pi_{i,j+1}$ . Such an object is called a *plane partition* or *three-dimensional Young diagram*.

Plane partitions generalize the notion of ordinary partition or Young diagram; recall that this is an increasing sequence of positive integers,  $\lambda = (\lambda_1, \lambda_2, \dots)$ ,  $\lambda_i \geq \lambda_{i+1} \geq 0$ , where  $\lambda_i$  gives the length of the  $i$ -th row in the associated Ferrers diagrams of the semi-standard Young tableaux  $T$  of shape  $\lambda$ . There are in fact two ways in which ordinary partitions will play a role. Firstly, the “diagonal slices” of a plane partition  $\pi$ , *e.g.*  $\lambda = (\pi_{i,i})$ , define a sequence of ordinary partitions obeying “interlacing relations”. Secondly, we can consider three-dimensional Young diagrams with infinitely many boxes which freeze along each coordinate direction to a two-dimensional Young diagram projected in the respective coordinate plane.

The statistical mechanics of crystal melting is now defined in a canonical ensemble in which each plane partition  $\pi$  has energy proportional to the total number of cubes  $|\pi| = \sum_{i,j \geq 1} \pi_{i,j}$ . The canonical partition function is then the generating function for plane partitions and is given by

$$Z_{\mathbb{C}^3} := \sum_{\pi} q^{|\pi|} = \sum_{k=0}^{\infty} pp(k) q^k ,$$

where  $pp(k)$  is the number of plane partitions  $\pi$  with  $|\pi| = k$  boxes. This enumerative problem was solved long ago by MacMahon with the result [17]

$$Z_{\mathbb{C}^3} = \prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^n} =: M(q) . \quad (2.1)$$

The function  $M(q)$  is called the *MacMahon function*. It generalizes the Euler function which is the generating function for partitions. From the perspective of six-dimensional gauge theory that we shall take later on, the integers  $pp(k)$  count the number of bound states of  $k$  D0-branes with a single

D6-brane wrapping  $\mathbb{C}^3$ . Then the gauge theory with partition function (2.1) is dual to topological string theory on the target space  $\mathbb{C}^3$  [16, 10, 11].

This statistical mechanics model is also intimately related to the theory of symmetric functions. Given a partition  $\lambda$  as above, the Schur polynomial in the variables  $x = (x_1, x_2, \dots)$  is the formal power series  $s_\lambda(x_1, x_2, \dots) = \sum_T x^T$ , with  $x^T := x_1^{\lambda_1(T)} x_2^{\lambda_2(T)} \dots$ . They constitute a special basis for the algebra of symmetric functions, and are intimately connected to the representation theory of symmetric and general linear groups [18, 17]. Of central interest is the specialization of the Schur polynomials in  $N$  variables to  $(x_1, x_2, \dots, x_N) = (1, q, \dots, q^{N-1})$ , which is given by the hook-content formula

$$s_\lambda(1, q, \dots, q^{N-1}) = q^{N(\lambda)} \dim_q(\lambda), \quad (2.2)$$

where  $N(\lambda) = \sum_{i \geq 1} (i-1) \lambda_i$  and the  $q$ -hook formula

$$\dim_q(\lambda) = \prod_{(i,j) \in \lambda} \frac{[N+j-i]}{[\lambda_i + \lambda_j^t - i - j + 1]}$$

is the quantum dimension of the irreducible unitary representation of  $U(N)$  associated to  $\lambda$  [19]. Here  $[n] = q^{(n-1)/2} (q^{n/2} - q^{-n/2}) / (q^{1/2} - q^{-1/2})$  denotes the  $q$ -number associated to  $n \in \mathbb{Z}$ .

The hook-content formula (2.2) implies the hook-length formula which can be generalized to give [17]

$$s_\lambda(1, q, \dots, q^{N-1}) = \sum_{\pi_c} q^{|\pi_c|},$$

where the sum ranges over all column-strict partitions  $\pi_c$  (equivalently reverse semi-standard Young tableaux) of shape  $\lambda$ , largest part at most  $N-1$ , and allowing 0 as a part. Hence the Schur specialization is a generating function for column-strict plane partitions. For rectangular shapes  $\lambda$ , there is a simple bijection between column-strict plane partitions of shape  $\lambda$  and ordinary plane partitions of shape  $\lambda$ . However, there is no such simple correspondence for arbitrary non-rectangular shapes. But the bijection does exist in the *reverse* situation for  $N \rightarrow \infty$ . In the limit  $N \rightarrow \infty$  the hook-content formula (2.2) reduces to  $s_\lambda(1, q, q^2, \dots) = q^{N(\lambda)} / \prod_{(i,j) \in \lambda} [\lambda_i + \lambda_j^t - i - j + 1]$ , and we have

$$\sum_{\pi_w} q^{|\pi_w|} = q^{-N(\lambda)} s_\lambda(1, q, q^2, \dots)$$

where the sum ranges over all weak reverse plane partitions  $\pi_w$  of shape  $\lambda$ .

## 2.2. Toric Calabi–Yau crystals

This model can be generalized to a large class of melting crystals in the following way. Let  $\Gamma$  be a finite trivalent planar graph, decorated by placing a three-dimensional partition  $\pi_v$  each vertex  $v$ , and a two-dimensional partition  $\lambda_e$  representing the asymptotics of  $\pi_v$  at each edge  $e$  emanating from a vertex  $v$ ; to each external leg of the graph  $\Gamma$  we assign the empty partition  $\lambda = \emptyset$ . To each vertex we assign the Boltzmann weight  $q$  which weighs the number of boxes; each edge  $e$  also has associated to it a formal variable  $Q_e$  weighing the total number of boxes. The partition function is obtained by summing over all possible decorations by partitions and reads

$$Z_X = \sum_{\substack{\text{Young tableaux} \\ \lambda_e}} \prod_{\text{edges } e} Q_e^{|\lambda_e|} \prod_{\substack{\text{vertices} \\ v=(e_1, e_2, e_3)}} M_{\lambda_{e_1}, \lambda_{e_2}, \lambda_{e_3}}(q), \quad (2.3)$$

where

$$M_{\lambda, \mu, \nu}(q) = \sum_{\pi : \partial\pi = (\lambda, \mu, \nu)} q^{|\pi|} \quad (2.4)$$

is the generating function for plane partitions  $\pi$  with boundaries  $\lambda, \mu, \nu$  of sizes  $N_\lambda, N_\mu, N_\nu$ , *i.e.*  $N_\lambda$  is the height of the plane partition (from piling cubic boxes), while  $N_\mu$  (resp.  $N_\nu$ ) is the extension towards the left (resp. right) such that beyond  $N_\mu$  (resp.  $N_\nu$ ) the cross section is frozen to  $\mu$  (resp.  $\nu$ ). When these boundary integers are non-vanishing, *i.e.*  $\pi$  is an infinite plane partition, one must make sense of the box count  $|\pi|$  through a suitable renormalization [16].

This combinatorial construction has a natural geometric meaning in the setting of *toric geometry*. A complex variety  $X$  is a *toric variety of dimension*  $d$  if it densely contains a (complex) algebraic torus  $T = (\mathbb{C}^\times)^d$  and the natural action of  $T$  on itself (by group multiplication) extends to a  $T$ -action on the whole of  $X$ . The simplest examples are the torus  $T$  itself, the affine space  $\mathbb{C}^d$ , and the complex projective space  $\mathbb{P}^d$ . If in addition  $X$  is a Calabi–Yau manifold, *i.e.*  $X$  has trivial canonical line bundle  $c_1(K_X) = 0$ , then  $X$  is necessarily non-compact.

Toric varieties are of great interest because much of their geometry and topology are described by combinatorial data encoded in a planar *toric web diagram*  $\Gamma$  defined as follows. The vertices  $v$  are the fixed points of the torus action on  $X$ , with  $T$ -invariant open chart  $U \cong \mathbb{C}^d$ . The edges  $e$  represent  $T$ -invariant projective lines  $\mathbb{P}^1$  joining pairs of fixed points  $v_1$  and  $v_2$ . The variety  $X$  is reconstructed from this data via a set of “gluing rules”, which follow from the realization that the normal bundle determines the local geometry of  $X$  near each edge, *i.e.* near each  $\mathbb{P}^1$ , the space  $X$  looks like the bundle  $\mathcal{O}_{\mathbb{P}^1}(-m_1) \oplus \cdots \oplus \mathcal{O}_{\mathbb{P}^1}(-m_{d-1})$  over  $\mathbb{P}^1$  for some integers

$m_i$  which determine the transition functions between neighbouring patches. The graph  $\Gamma$  has external legs if and only if  $X$  is non-compact; the external edges are then dual to non-compact divisors in the geometry.

This combinatorial information can be equivalently encoded in the dual graph which defines the *toric fan*  $\Sigma \subset \mathbb{Z}^d$  of  $X$ . It consists of *maximal (polyhedral) cones*  $\sigma$  which are dual to the vertices of  $\Gamma$  and which define a toric open cover  $U[\sigma]$  of  $X$ , a set of  $d - 1$ -cones dual to edges, and so on. One then specifies gluing rules along adjacent faces  $\sigma \cap \tau$  of cones  $\sigma$  and  $\tau$ . For the example of the complex projective plane  $X = \mathbb{P}^2$ , the fan  $\Sigma$  consists of three maximal cones  $\sigma_1, \sigma_2, \sigma_3$ , corresponding to the three open  $\mathbb{C}^2$  charts covering  $\mathbb{P}^2$ , with intersections between neighbouring two-cones giving the one-cones  $\sigma_i \cap \sigma_{i+1} = \tau_i$  (with indices read modulo 3), and triple intersection the cone point  $\sigma_1 \cap \sigma_2 \cap \sigma_3 = \{0\}$ . The dual graph  $\Gamma$  is a triangle.

The formal power series (2.3) enumerates the Donaldson–Thomas invariants of the toric Calabi–Yau threefold  $X$  with web diagram  $\Gamma$ ; from a gauge theory perspective, this partition function counts BPS bound states of D6–D2–D0 branes with a single D6-brane wrapping  $X$  and D2-branes wrapping the two-cycles of  $X$ . After the change of variables  $q = e^{-g_s}$ , the perturbative expansion of (2.3) in  $g_s$  gives the Gromov–Witten invariants of  $X$  and coincides (up to normalization) with the partition function for topological string theory on  $X$  [10]. Indeed, the generalized MacMahon function (2.4) coincides (up to normalization) with the “topological vertex” of [20] in the melting crystal formulation; this is proven by rewriting the sum over plane partitions  $\pi$  as a sum over “diagonal” two-dimensional Young diagrams  $\lambda$  weighted by powers of skew Schur functions [16].

The toric diagram for the affine space  $X = \mathbb{C}^3$  is depicted on the left in Fig. 3 and its partition function (2.3) is given in (2.1). The next simplest

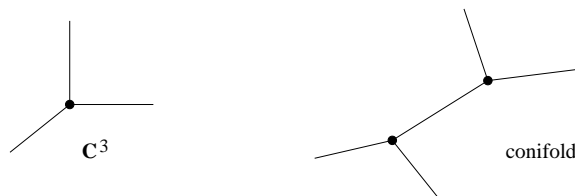


Fig. 3. Toric diagrams for  $\mathbb{C}^3$  and the resolved conifold.

example is the resolution of the conifold singularity  $xy - zw = 0$  in  $\mathbb{C}^4$ , whose web diagram is depicted on the right in Fig. 3. Using the general



prescription (2.3) one readily computes

$$\begin{aligned} Z_{\text{conifold}} &= \sum_{\lambda} M_{\emptyset, \emptyset, \lambda}(q) M_{\emptyset, \emptyset, \lambda}(q) Q^{|\lambda|} \\ &= \sum_{\pi_v} q^{|\pi_v| + \sum_{(i,j) \in \lambda} (i+j+1)} Q^{|\lambda|} = M(q)^2 M(Q, q)^{-1}, \quad (2.5) \end{aligned}$$

where the generating function

$$M(Q, q) = \prod_{n=1}^{\infty} \frac{1}{(1 - Q q^n)^n}$$

counts weighted plane partitions.

In general a simple closed product formula is not anticipated for the partition function (2.3). They only arise when the background  $X$  has no compact divisors (or D4-branes). As the example (2.5) demonstrates, the partition function (2.3) is expected to contain the overall factor  $M(q)^{\chi(X)}$  enumerating degree 0 curve classes (D0-branes) [10], where  $\chi(X)$  is the topological Euler characteristic of  $X$  which coincides with the number of vertices in the toric diagram  $\Gamma$  of  $X$ .

### 2.3. Integrability

The melting crystal model is an integrable system. This can be seen through the free fermion representations of the partition functions (2.3) [21, 22]. Introduce independent holomorphic complex fermion fields  $\psi$  and  $\psi^*$  in two dimensions. In the Neveu–Schwarz sector they have the mode expansions

$$\psi(z) = \sum_{m \in \mathbb{Z} + 1/2} \psi_m z^{-m-1/2} \quad \text{and} \quad \psi^*(z) = \sum_{m \in \mathbb{Z} + 1/2} \psi_m^* z^{-m-1/2}$$

with the non-vanishing canonical anticommutation relations  $\{\psi_m, \psi_n^*\} = \delta_{m+n,0}$ . The fermionic Fock space is built by the action of these mode operators on the vacuum state  $|0\rangle$  obeying  $\psi_n|0\rangle = 0 = \psi_m^*|0\rangle$  for  $n \geq 0$  and  $m \geq 1$ . It is naturally spanned by states labelled by Young tableaux; given a two-dimensional partition  $\lambda = (\lambda_1, \dots, \lambda_r)$  and its transpose  $\lambda^t$ , one defines the basis states

$$|\lambda\rangle = \prod_{i=1}^r \psi_{-\lambda_i+i-1/2}^* \psi_{-\lambda_i^t+i-1/2} |0\rangle.$$

The modes  $\alpha_n$  of the bosonized field

$$\partial\phi(z) = : \psi(z) \psi^*(z) : = \sum_{n \in \mathbb{Z}} \alpha_n z^{-n-1} \quad \text{with} \quad \alpha_n = \sum_{m \in \mathbb{Z}} : \psi_{n-m} \psi_m^* :$$

obey the Heisenberg commutation relations  $[\alpha_m, \alpha_n] = m \delta_{m+n,0}$ . They can be used to define vertex operators

$$\Gamma_{\pm}(z) = \exp \left( \sum_{n>0} \frac{z^n}{n} \alpha_{\pm n} \right) .$$

By using the expansion of (2.4) into Schur functions, summed over Young diagrams, one can represent the partition functions (2.3) as particular vacuum correlation functions of these vertex operators. For example, direct expansion of the infinite product in (2.1) gives the fermionic representation

$$Z_{\mathbb{C}^3} = \langle 0 | \left( \prod_{n=-\infty}^0 \Gamma_+(q^{-n}) \right) \left( \prod_{n=0}^{\infty} \Gamma_-(q^n) \right) | 0 \rangle .$$

This identifies  $Z_X$  as a tau-function of the one-dimensional Toda lattice hierarchy [21]; the modes  $\alpha_n$  play the role of “Hamiltonians” in the usual fermionic formulation for tau-functions of the integrable KP and Toda hierarchies.

Natural candidates for explicit representations of tau-functions of integrable hierarchies are provided by partition functions of matrix models. In [23, 24] it was shown that the expansions (2.3) can be written as partition functions of *infinite-dimensional* unitary one-matrix models (when the underlying toric Calabi–Yau variety  $X$  has no compact divisors). For example, the affine space partition function (2.1) can be expressed as the matrix integral

$$Z_{\mathbb{C}^3} = \int_{U(\infty)} dU \det \Theta(U|q) ,$$

while for the resolved conifold partition function (2.5) one has

$$Z_{\text{conifold}} = \int_{U(\infty)} dU \det \left( \frac{\Theta(U|q)}{\Theta(QU|q)} \prod_{n=1}^{\infty} (1 + Q^{-1} U^{-1} q^n) \right) ,$$

where the elliptic theta-function is given by

$$\Theta(u|q) = \sum_{j=-\infty}^{\infty} q^{j^2/2} u^j .$$

These formal expressions are defined as the  $N \rightarrow \infty$  limits of the corresponding eigenvalue integrals for the finite-dimensional unitary group  $U(N)$  with the bi-invariant Haar measure  $dU$ ; the infinite unitary group here is then formally the contractible one obeying Kuiper's theorem. In [24] these matrix model formulas are derived straightforwardly starting from the expansion of  $M_{\lambda,\mu,\nu}(q)$  in skew Schur functions, using Gessel's theorem to write the sum as a Toeplitz determinant, and then using the fact that  $N \times N$  Toeplitz determinants have well-known expressions as integrals over the unitary group  $U(N)$ . The rank here is infinite as we have to sum over *all* Young diagrams  $\lambda$ , with no restrictions on the lengths of the rows  $\lambda_i$ , in the expansion of the generating function (2.4).

#### 2.4. Finite rank crystal model and Chern–Simons gauge theory

It is natural to ask what is the meaning of the finite rank versions of the unitary matrix integrals for the melting crystal partition functions  $Z_X$ . The answer leads to the somewhat unexpected appearance of a well-known topological gauge theory in three dimensions. Consider Chern–Simons theory on an oriented three-manifold  $M$  with gauge group  $U(N)$ . The partition function is given by the functional integral

$$Z_{\text{CS}}^N(M) = \int \text{D}A \, e^{i S_{\text{CS}}[A]},$$

where

$$S_{\text{CS}}[A] = \frac{k}{4\pi} \int_M \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

for  $k \in \mathbb{Z}$  is the Chern–Simons action for a gauge potential  $A$  of a connection one-form on a (trivial) bundle over  $M$ . This gauge theory has a long history as an exactly solvable quantum field theory which computes invariants in three-dimensional geometric topology [25]. It is given exactly by its one-loop (semi-classical) approximation, with the partition function and Wilson loop observables localizing onto classical solutions of the Chern–Simons action, which are given by *flat* connections of curvature  $F_A = 0$ . When the three-manifold is a Seifert fibration  $M \rightarrow \Sigma$ , integration over the  $S^1$  fibre degrees of freedom localizes the gauge theory onto a “ $q$ -deformation” of two-dimensional Yang–Mills theory on the base Riemann surface  $\Sigma$  [26, 27, 28, 29], defined by replacing  $U(N)$  representation theoretic quantities in the usual heat kernel expansion with their quantum analogs.

For example, Chern–Simons theory on the three-sphere  $M = S^3$ , regarded as a circle bundle over the two-sphere  $\Sigma = S^2$  by means of the Hopf

fibration  $S^3 \rightarrow S^2$ , is equivalent to  $q$ -deformed Yang–Mills theory on  $S^2$ . In this case, the Chern–Simons partition function can be reduced to an  $N$ -dimensional integral which is equivalent to the Stieltjes–Wigert matrix model defined by the Hermitian matrix integral [30, 31, 32]

$$Z_{\text{CS}}^N(S^3) = \int_{\mathbf{u}(N)} dH \, e^{-\text{Tr} \log^2 H / 2g_s} ,$$

where  $g_s = \frac{2\pi i}{k+N}$ . Using explicit expressions for the associated orthogonal polynomials (the Stieltjes–Wigert polynomials), the matrix integral can be computed explicitly with the result

$$Z_{\text{CS}}^N(S^3) = \prod_{j=1}^{N-1} (1 - q^j)^{N-j}$$

where  $q = e^{-g_s} = e^{-2\pi i/(k+N)}$ . Unlike the more conventional Hermitian matrix models with polynomial potentials, this model involves an undetermined moment problem. In particular, it can equivalently be described by the unitary matrix model [33]

$$Z_{\text{CS}}^N(S^3) = \int_{U(N)} dU \, \det \Theta(U|q) ,$$

which is just the finite rank version of the unitary matrix model describing the melting crystal model on  $\mathbb{C}^3$ . It follows that  $Z_{\mathbb{C}^3} = \lim_{N \rightarrow \infty} Z_{\text{CS}}^N(S^3)$ , and hence the finite  $N$  crystal model may be regarded as the Chern–Simons matrix model. From the perspective of topological string theory, this correspondence is not so surprising, given that large  $N$  Chern–Simons gauge theory describes the B-model dual to the A-model topological string theory on  $X$ . In [23] it is shown that the spectral curve of the matrix model in the thermodynamic limit describes the mirror geometry to the A-model geometry.

This correspondence is interesting because the Chern–Simons matrix model on  $M = S^3$  is known to be deeply connected to exactly solvable models of statistical mechanics and certain stochastic processes. For example, it is related to the  $N$ -particle Sutherland model [34]. Moreover, in [35] it was pointed out that the matrix model expression for the Chern–Simons partition function on  $S^3$  is just the extensivity property of probabilities in the Brownian motion of  $N$  independent particles. A special instance of this latter connection can also be noted directly by using the observation of [26] that the Lawrence–Rozansky localization formula for  $SU(2)$  Chern–Simons

theory on  $S^3$  amounts to rewriting the matrix model expression as

$$\begin{aligned} Z_{\text{CS}}^2(S^3) &= \sqrt{\frac{2}{k+2}} \sin\left(\frac{\pi}{k+2}\right) \\ &= \frac{e^{-i\pi/(k+2)}}{2\pi i} \int_{-\infty}^{\infty} dx \sinh^2\left(\frac{1}{2}e^{i\pi/4}\right) e^{-\frac{k+2}{8\pi}x^2}. \end{aligned}$$

This is a first moment of the functional exponential of Brownian motion  $A_t$  given by

$$\mathbb{E}[(A_t)^n] = \int_{\mathbb{R}} dx (\sinh x)^{2n} \frac{e^{-x^2/2t}}{\sqrt{2\pi t}},$$

where  $A_t = \int_0^t ds e^{2B_s}$  with  $B = \{B_t \mid t > 0\}$  a one-dimensional Brownian motion [36].

More intrinsically, there is a fundamental well-known connection between random plane partitions and non-intersecting lattice paths via the Lindström–Gessel–Viennot formalism [37]. The specialization of the Schur polynomial  $s_{\lambda}(1, q, \dots, q^{N-1})$  can be expressed as a random matrix average in the Stieltjes–Wigert ensemble [38] whose joint probability density has an interpretation as a Brownian motion. This follows from the Karlin–McGregor determinant formula for the probability measure of  $N$  particles, at initial positions  $\lambda = (\lambda_1, \lambda_2, \dots)$ , to undergo independent Brownian motion without collision to an equispaced final position at time  $t$  [39]. By using the Littlewood formula [18]

$$\sum_{\lambda} s_{\lambda}(x_1, \dots, x_N) = \prod_{i=1}^N \frac{1}{1-x_i} \prod_{i < j} \frac{1}{1-x_i x_j},$$

we can write the melting crystal partition function as a product of non-intersecting Brownian path distributions

$$Z_{\mathbb{C}^3} = \left( \sum_{\lambda} s_{\lambda}(1, q, q^2, \dots) \right) \left( \sum_{\lambda} s_{\lambda}(-1, -q, -q^2, \dots) \right),$$

with  $q = e^{1/t} = e^{-g_s}$ .

### 3. Quantization of toric geometry

In this section we will relate the crystal melting model to the quantization of spacetime geometry. We first demonstrate how such quantization can be induced through quantum gravitational fluctuations in a certain toy

model of quantum gravity. Later on we will see that this crystalline structure can be understood dynamically in terms of instantons of a topological gauge theory in six dimensions, extending the gauge theory description of the previous section, that we also describe below. We then describe the general construction of the quantum geometry, following [40].

### 3.1. Kähler quantum gravity

The toy model of quantum gravity that we present was studied in the early 1990's and applies to any Kähler manifold in six dimensions; here we follow the presentation of [11] (see also [41]). Let  $X$  be a complex manifold of dimension  $\dim_{\mathbb{C}}(X) = 3$ , with fixed nondegenerate Kähler  $(1,1)$ -form  $\omega_0$  satisfying  $d\omega_0 = 0$ . In the following we will usually assume that  $X$  is a toric Calabi–Yau threefold.

Given this data one can write down the gravitational path integral

$$Z_X = \sum_{[\omega]=[\omega_0]} e^{-S} \quad \text{with} \quad S = \frac{1}{g_s^2} \int_X \frac{1}{3!} \omega \wedge \omega \wedge \omega .$$

This integral is discrete; it is given by a sum over “quantized” Kähler forms  $\omega$ , which means that they have the same periods as the form  $\omega_0$ . This is tantamount to a summation over the Picard lattice  $H^2(X, \mathbb{Z})$  of degree two cohomology classes of  $X$ , which consists of characteristic (isomorphism) classes of line bundles over  $X$ . Thus we decompose the “macroscopic” form  $\omega$  into fluctuations around the “background” form  $\omega_0$ , given by the curvature  $F_A$  of a holomorphic line bundle  $L \rightarrow X$ , as  $\omega = \omega_0 + g_s F_A$  with the fluctuation condition  $\int_{\beta} F_A = 0$  for all two-cycles  $\beta \in H_2(X, \mathbb{Z})$ .

By direct substitution using the fluctuation condition, this gives the action

$$S = \frac{1}{g_s^2} \frac{1}{3!} \int_X \omega_0^3 + \frac{1}{2} \int_X F_A \wedge F_A \wedge \omega_0 + g_s \int_X \frac{1}{3!} F_A \wedge F_A \wedge F_A .$$

By dropping the irrelevant constant term, the statistical sum thus becomes

$$Z_X = \sum_{[L \rightarrow X]} q^{\text{ch}_3(L)} \prod_{i=1}^{b_2(X)} (Q_i)^{\int_{C_i} \text{ch}_2(L)}$$

where  $q = e^{-g_s}$ ,  $Q_i = e^{-\int_{S_i} \omega_0}$ ,  $S_i \in H_2(X, \mathbb{Z})$  and  $C_i \in H_4(X, \mathbb{Z})$  are dual bases of two-cycles and four-cycles, and  $b_2(X)$  is the second Betti number of  $X$ . This partition function is of precisely the same form as the crystal partition function in the case that  $X$  is toric; indeed the second

Chern characteristic classes  $\text{ch}_2(L)$  of line bundles can be naturally associated to Young tableaux, while the third Chern characteristic classes  $\text{ch}_3(L)$  naturally correspond to three-dimensional Young diagrams. However, the *problem* with this model as it is currently formulated is that the fluctuation condition on  $F_A$  implies that all line bundles  $L$  occurring in the sum are trivial,  $\text{ch}_2(L) = \text{ch}_3(L) = 0$ , and hence this model of Kähler quantum gravity is not well-defined.

It is the resolution to this problem that leads to the quantization of geometry. Instead of considering smooth connections as is the usual practice, one should take  $F_A$  to correspond to a *singular*  $U(1)$  gauge field  $A$  on  $X$ . This procedure is well understood in algebraic geometry. It means that we should enlarge the range of the sum over line bundles to include also contributions from ideal sheaves, which fail to be holomorphic line bundles on a finite set of points, identified as the singular locus of the gauge fields. We will see later on that this extension is provided by considering the instanton solutions of gauge theory on a noncommutative deformation  $\mathbb{C}_\theta^3$  of affine space, which are described in terms of *ideals*  $\mathcal{I}$  in the polynomial algebra  $\mathbb{C}[z_1, z_2, z_3]$ . They correspond locally to crystalline configurations on each patch of the manifold  $X$ . In [11] this phenomenon is interpreted as a gravitational *quantum foam*. The gauge field configurations become non-singular on the blow-up  $\hat{X} \rightarrow X$  obtained by replacing the singular points with non-contractible cycles, and ideal sheaves on  $X$  lift to line bundles on the resolution  $\hat{X}$ ; this alters the homology of  $X$  and is interpreted as a space-time topology change. In this way the molten crystal gives a discretization of the geometry of  $X$  at the Planck scale; each atom of the crystal is a fundamental unit of the quantum geometry.

### 3.2. Six-dimensional cohomological gauge theory

A direct gauge theory realization of this construction can be given [11, 42], wherein one can naturally see the necessity for enlarging the space of gauge connections. The natural gauge theory on a D6-brane in Type IIA superstring theory is a topological twist of the maximally supersymmetric Yang–Mills theory in six dimensions; the twisting carries us away from the usual physical gauge theory and is necessary to ensure supersymmetry when  $X$  is curved. It can be obtained through dimensional reduction of ten-dimensional supersymmetric Yang–Mills theory over  $X$ , and the bosonic part of its action reads

$$\begin{aligned} S_{\text{bos}} = & \frac{1}{2} \int_X \left( d_A \Phi \wedge * d_A \bar{\Phi} + \|F_A^{2,0}\|^2 + \|F_A^{1,1}\|^2 \right) \\ & + \frac{1}{2} \int_X \left( F_A \wedge F_A \wedge \omega_0 + \frac{g_s}{3} F_A \wedge F_A \wedge F_A \right) , \end{aligned}$$

where  $\Phi$  is a Higgs field and  $F_A = F_A^{2,0} + F_A^{1,1} + F_A^{0,2}$  is the holomorphic-antiholomorphic decomposition of the curvature two-form with respect to a chosen complex structure on  $X$ . The second line of this action coincides with that of the decomposed Kähler gravity action.

Considering the fermionic terms, the gauge theory has a large BRST symmetry, and its functional integrals (observables) localize at BRST fixed points which are given by the equations

$$F_A^{2,0} = 0 = F_A^{0,2} \quad \text{and} \quad F_A^{1,1} \wedge \omega_0 \wedge \omega_0 = 0. \quad (3.1)$$

These are the *Donaldson–Uhlenbeck–Yau equations* which describe the absolute minima of the gauge theory action; the first equation says that the pertinent gauge bundle is holomorphic, while the second equation is an integrability condition on the gauge connection. Their solutions are thus BPS solutions which we interpret as (generalized) instantons. In string theory they describe BPS bound states of D6–D2–D0 branes on  $X$  (in a particular chamber of the Kähler moduli space).

According to the general principles of cohomological gauge theory, the partition function can be computed from the localization formula onto the instanton moduli space  $M$  given by  $Z_X = \int_M e(\mathcal{N})$ , where  $e(\mathcal{N})$  denotes the Euler characteristic class of the antighost bundle  $\mathcal{N}$  over  $M$  defined by integration over the zero modes of the antighost fields in the gauge fixed path integral. This expression is very symbolic, because the instanton moduli space is neither smooth nor even a variety. It can be made sense of using obstruction theory techniques from algebraic geometry; see [41] for a concise discussion of this point. Later on we will describe a variant of this moduli space for the four-dimensional analog of this gauge theory.

We can nevertheless formally use this Euler character formula to describe the instanton contributions to the partition function, provided we resolve at least some of the singularities of the instanton moduli space. First of all, we must deal with the non-compactness of  $M$ . For  $X = \mathbb{C}^3$ , we can regularize the infrared singularities of  $M$  by putting the gauge theory in the supergravity “ $\Omega$ -background” introduced by Nekrasov [6]. This deforms the gauge theory such that the moduli space integrals can be evaluated explicitly using equivariant localization formulas with respect to the lift of the natural toric action on  $X$  to  $M$ ; the torus fixed points on  $M$  are just the instanton gauge fields. Since  $\text{ch}_2(L) = 0$  when  $X$  has no non-trivial two-cycles, this saturates  $Z_X$  by pointlike instantons in this case. We must also resolve the small instanton ultraviolet singularities of  $M$ ; this is achieved by replacing  $X = \mathbb{C}^3 \cong \mathbb{R}^6$  by its noncommutative deformation  $\mathbb{R}_\theta^6$ , defined by replacing the coordinates  $x^i$  of  $\mathbb{R}^6$  with Hermitian operators obeying Heisenberg commutation relations

$$[x^i, x^j] = i\theta^{ij}, \quad (3.2)$$



with a constant, real-valued, non-degenerate antisymmetric deformation matrix  $(\theta^{ij})$ . Thus the pertinent compactification of the instanton moduli space needed to make gauge theory quantities well-defined also naturally leads to a quantization of the target space geometry; this compactification is known to correspond to adding ideal sheaves to  $\mathbf{M}$ .

### 3.3. Cocycle twist quantization

In the remainder of this section we spell out the details of the construction of the quantum geometry. We use the deformation procedure of [43] which is tailored to deal with instances wherein there is a symmetry group acting on a class of objects that one wishes to quantize; in our case this will be the induced action of the torus group  $T$  on the algebra of functions on a toric variety. However, we spell out the construction in a very general way that can be exploited in a variety of other contexts.

Let  $H$  be a commutative Hopf algebra over  $\mathbb{C}$  (representing the “symmetries” under consideration) endowed with a linear convolution-invertible unital two-cocycle  $F : H \otimes H \rightarrow \mathbb{C}$ . Such a cocycle is called a “twist”. Below we use Sweedler notation for the coproduct  $\Delta : H \rightarrow H \otimes H$  of  $H$ ,  $\Delta(h) = h_{(1)} \otimes h_{(2)}$ , and also  $(\Delta \otimes \text{id}_H) \circ \Delta(h) = h_{(1)} \otimes h_{(2)} \otimes h_{(3)} = (\text{id}_H \otimes \Delta) \circ \Delta(h)$ , with implicit summations over the factors.

Given this data, we can define a new “twisted” Hopf algebra  $H_F$  with the same coalgebra structure as  $H$ , but whose algebra product is modified to

$$h \times_F g := F(h_{(1)}, g_{(1)}) (h_{(2)} g_{(2)}) F^{-1}(h_{(3)}, g_{(3)}) . \quad (3.3)$$

The cocycle condition ensures that this product is associative. A complex vector space  $A$  is a left  $H$ -comodule if it carries a compatible left coaction  $\Delta_L : A \rightarrow H \otimes A$  of  $H$  on  $A$ ; we use the Sweedler notation  $\Delta_L(a) := a^{(-1)} \otimes a^{(0)}$  for  $a \in A$ , again with implicit summation. The category whose objects are left  $H$ -comodules and whose morphisms are left  $H$ -coequivariant homomorphisms is denoted  ${}^H\mathcal{M}$ . Since  $H$  and  $H_F$  are the same as coalgebras, every left  $H$ -comodule is a left  $H_F$ -comodule and every  $H$ -coequivariant homomorphism is an  $H_F$ -coequivariant homomorphism. This implies that there is a functorial isomorphism of categories of left comodules  $\mathcal{Q}_F : {}^H\mathcal{M} \rightarrow {}^{H_F}\mathcal{M}$ , which simultaneously deforms any  $H$ -covariant construction into an  $H_F$ -covariant one. It is this technique of “functorial quantization” that is extremely powerful and general enough to fulfill all our needs.

As we have written it down thus far, this categorical equivalence is trivial, because the functor  $\mathcal{Q}_F$  acts as the identity on objects and morphisms of the category  ${}^H\mathcal{M}$ . However, the category  ${}^H\mathcal{M}$  has more structure, and the isomorphism  $\mathcal{Q}_F$  acts non-trivially on this extra structure, which is that

of a braided monoidal category. The monoidal structure is provided by the ordinary tensor product of  $H$ -comodules, while the braiding morphism  $\Psi : A \otimes B \rightarrow B \otimes A$  on  ${}^H\mathcal{M}$  is given by the trivial “flip” morphism which interchanges factors in a tensor product, *i.e.*  $\Psi(a \otimes b) = b \otimes a$ . Writing  $A_F = \mathcal{Q}_F(A)$  for  $A \in {}^H\mathcal{M}$ , we can twist the flip morphisms into a new braiding  $\Psi_F : A_F \otimes B_F \rightarrow B_F \otimes A_F$  on  ${}^{H_F}\mathcal{M}$  given by

$$\Psi_F(a \otimes b) = F^{-2}(b^{(-1)}, a^{(-1)}) (b^{(0)} \otimes a^{(0)}) .$$

There is also a twisting of the monoidal structure, but we do not write it here.

Our main interest is the comodule twisting of algebras. An algebra  $A \in {}^H\mathcal{M}$  is a left  $H$ -comodule algebra if its product map  $A \otimes A \rightarrow A$  is an  $H$ -coequivariant homomorphism. The quantization functor  $\mathcal{Q}_F$  then generates a left  $H_F$ -comodule algebra  $A_F$  which as a vector space is the same as  $A$  but with the new product

$$a \cdot b := F(a^{(-1)}, b^{(-1)}) (a^{(0)} b^{(0)}) . \quad (3.4)$$

If  $A, B$  are comodule algebras in  ${}^{H_F}\mathcal{M}$ , then so is their braided tensor product  $A \otimes B$ , which is defined to be the vector space  $A \otimes B$  endowed with the product defined on primitive elements by

$$(a \otimes b) \cdot (c \otimes d) = a \Psi_F(b \otimes c) d .$$

For the trivial flip braiding, this definition coincides with the natural product induced on  $A \otimes B$ .

### 3.4. Noncommutative toric varieties

We now apply this functorial deformation procedure to define the quantization of toric varieties  $X \rightarrow X_\theta$  [40]. First, we define the noncommutative algebraic torus  $T_\theta = (\mathbb{C}_\theta^\times)^d$  using a twisting cocycle. The algebra dual to the torus  $T$  is the Laurent polynomial algebra  $H := \mathbb{C}(t_1, \dots, t_d) = A(T)$  which is generated by monomials  $t^p := t_1^{p_1} \cdots t_d^{p_d}$  with  $p \in \mathbb{Z}^d$ . Since  $T$  is an abelian Lie group,  $H$  has the standard structure of a commutative Hopf algebra with coproduct, counit, and antipode given respectively on monomials by  $\Delta(t^p) = t^p \otimes t^p$ ,  $\epsilon(t^p) = 1$  and  $S(t^p) = t^{-p}$ , with  $\Delta, \epsilon$  extended as algebra morphisms and  $S$  extended as an anti-algebra morphism. The simplest choice of twisting cocycle  $F : H \otimes H \rightarrow \mathbb{C}$  is provided by the abelian twist defined on generators by

$$F(t_i, t_j) = \exp\left(\frac{i}{2} \theta_{ij}\right) =: q_{ij}$$

involving complex parameters  $\theta_{ij} = -\theta_{ji} \in \mathbb{C}$ , and extended as a Hopf bicharacter. Since  $T$  is abelian, one then easily checks that the twist factors cancel out in the product (3.3), and hence  $H = H_F$  as Hopf algebras.

Nevertheless, this cocycle still induces a non-trivial twisting of the category of  $H$ -comodules. For example, the coproduct  $\Delta : H \rightarrow H \otimes H$  makes the Hopf algebra  $H$  itself into a comodule algebra in  ${}^H\mathcal{M}$ , and so the cotwisted torus has product (3.4) satisfying the relations

$$t_i \cdot t_j = F(t_i, t_j) t_i t_j = F^2(t_i, t_j) t_j \cdot t_i = q_{ij}^2 t_j \cdot t_i .$$

This defines the *noncommutative torus*  $A(T_\theta)$  as an algebra object of the twisted category  ${}^{H_F}\mathcal{M}$ .

We can thus quantize any quantity on which the original torus  $T$  acts. Let  $X$  be a toric variety with fan  $\Sigma$  consisting of a set of cones  $\sigma$ . We first define noncommutative affine toric varieties  $\sigma \mapsto A(U_\theta[\sigma])$  as finitely-generated  $H_F$ -comodule subalgebras of  $A(T_\theta)$ . For example, the noncommutative affine  $d$ -plane is the variety dual to the polynomial algebra  $A(\mathbb{C}_\theta^d) = \mathbb{C}_\theta[t_1, \dots, t_d]$  with the relations  $t_i t_j = q_{ij}^2 t_j t_i$ . This noncommutative variety is called the “algebraic Moyal plane”. It can be realized in fashion similar to the more conventional Heisenberg commutation relations (3.2) via the map  $t_i \mapsto z_i = \log t_i$  with  $[z_i, z_j] = i\theta_{ij}$ . In general, the “patches” of the quantum toric variety  $X_\theta$  are given by a quotient of the algebra  $A(\mathbb{C}_\theta^d)$  by an ideal of relations. The gluing rules of toric geometry now translate into algebra automorphisms between affine patches  $A(U_\theta[\sigma])$  in the category  ${}^{H_F}\mathcal{M}$ . This quantization thus uses the same combinatorial data as in the commutative case, *i.e.* the *same fan*  $\Sigma$ , and just deforms the coordinate algebra of each cone  $\sigma \in \Sigma$ . See [40] for further details of the explicit construction.

#### 4. Crystal melting in two dimensions

In this section we describe the melting crystal model in two dimensions, following [44]. The natural gauge theory counterpart in this instance is the maximally supersymmetric Yang–Mills theory in four dimensions. We discuss to what extent the analogs of all correspondences for the three-dimensional crystal hold in this case; the proper understanding of these relationships would sharpen the picture of a dynamically induced quantum geometry of *four-dimensional* spacetime. In this regard, the toric geometry of six-dimensional spaces (hence those which naturally arise in string theory compactifications) is singled out as special.

#### 4.1. Statistical mechanics and random partitions

The statistical mechanics of crystal melting in two dimensions is a combinatorial problem describing the growth of ordinary random partitions (Young tableaux). Analogously to the three-dimensional case, the infinite partitions label  $T$ -invariant open sets  $U \subset X$  of a smooth quasi-projective toric surface  $X$  with asymptotics specified by single integers along each of the two coordinate directions. A typical configuration is depicted in Fig. 4. In contrast to the three-dimensional case, the vertex formalism

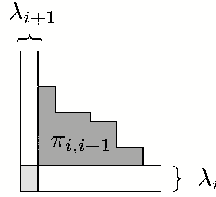


Fig. 4. Melting crystal corner in two dimensions. The index  $i$  labels one-cones of the fan  $\Sigma$  of a toric surface  $X$ , with the index pair labelling the two bounding one-cones of each torus invariant fixed point on  $X$ .

for the counting problem greatly simplifies due to the explicit factorization of infinite Young diagrams into finite Young diagrams, as is evident from Fig. 4. We denote this factorization symbolically as

$$\{\infty \text{ Young tableau}\} \longleftrightarrow \mathbb{Z}_{\geq 0}^2 \times \{\text{finite Young tableau}\} . \quad (4.1)$$

Geometrically this corresponds to the factorization of the Hilbert scheme of curves on  $X$  into a reduced divisorial part (containing effective divisors) and a zero-dimensional punctual part (containing free embedded points); all Young diagrams (other than hook diagrams) correspond to closed subschemes of  $X$  with embedded points.

The quantum version of the melting crystal corner in two dimensions is also integrable; it can be mapped exactly to the Heisenberg XXZ ferromagnetic spin chain [45]. The classical lattice statistical mechanics on a decorated finite bivalent planar graph  $\Gamma$  is described by the partition function

$$Z_{\text{crystal}}(X) = \sum_{\lambda_e} \prod_{\text{edges } e} G_{\lambda_e}(q, Q_e) \prod_{\substack{\text{vertices} \\ v=(e_1, e_2)}} V_{\lambda_{e_1}, \lambda_{e_2}}(q) , \quad (4.2)$$

where the vertex factors are

$$V_{\lambda_{e_1}, \lambda_{e_2}}(q) = \hat{\eta}(q)^{-1} q^{-\lambda_{e_1} \lambda_{e_2}}$$

while the edge factors are given by

$$G_{\lambda_e}(q, Q_e) = q^{a_e \frac{\lambda_e(\lambda_e-1)}{2} + \lambda_e} Q_e^{\lambda_e}$$

with  $a_e \in \mathbb{Z}$ . The sum runs over  $\lambda_e \in \mathbb{Z}_{\geq 0}$  for all internal edges  $e$  of  $\Gamma$ , while  $\lambda_e = 0$  on external legs. The function  $\hat{\eta}(q)$  is proportional to the Dedekind function  $\eta(q)$ ; its inverse is the Euler function

$$\hat{\eta}(q)^{-1} = \prod_{n=1}^{\infty} \frac{1}{1-q^n} = \sum_{k=0}^{\infty} p(k) q^k$$

where  $p(k)$  is the number of partitions  $\lambda = (\lambda_1, \lambda_2, \dots)$  of degree  $|\lambda| = \sum_i \lambda_i = k$ . The graph  $\Gamma$  is the dual web diagram to the toric fan  $\Sigma$  of a surface  $X$ . The integers  $a_e$  are the intersection numbers between neighbouring two-cones of  $\Sigma$ . The appearance of the Euler function in this expression agrees with the general expectations of Göttsche's formula

$$\sum_{k \geq 0} \chi(X^{[k]}) q^k = \hat{\eta}(q)^{-\chi(X)},$$

where  $X^{[k]}$  denotes the Hilbert scheme of  $k$  points on  $X$ . The six-dimensional version of this formula involving the MacMahon function and the motivic Hilbert scheme of points was given recently in [46]. It is natural to ask at this stage if there exists a four-dimensional version of “topological string theory” that reproduces this counting; this point is currently under investigation.

#### 4.2. $\mathcal{N} = 4$ supersymmetric Yang–Mills theory in four dimensions

The relevant four-dimensional supersymmetric gauge theory is again not the physical one that appears in standard contexts such as the AdS/CFT correspondence, but rather the  $\mathcal{N} = 4$  Vafa–Witten topologically twisted  $U(1)$  Yang–Mills theory [47] on Kähler four-manifold  $X$ , coupled with instanton and monopole charges

$$k = \frac{1}{8\pi^2} \int_X F_A \wedge F_A \quad \text{and} \quad u_i = \frac{1}{2\pi} \int_{S_i} F_A$$

for  $i = 1, \dots, b_2(X)$ . The topologically twisted gauge theory coincides with the physical one in the case that  $X$  is a hyper-Kähler manifold. Under the conditions required by the Vafa–Witten vanishing theorems, the path integral localizes onto the instanton moduli space and has an expansion

$$Z_{\text{gauge}}(X) = \sum_{k \geq 0} \sum_{u \in H^2(X, \mathbb{Z})} \Omega(k, u) q^k \prod_{i=1}^{b_2(X)} Q_i^{u_i}, \quad (4.3)$$

where  $\Omega(k, u)$  is the Witten index which computes the Euler character of the moduli space of  $U(1)$  instantons on  $X$  (obeying the anti-self-duality equations (1.1)) with the given charges; this degeneracy factor also counts the number of BPS bound states of D4–D2–D0 branes on  $X$  (in a particular chamber of the Kähler moduli space).

The partition function (4.3) has a conjectural exact expression in the case of Hirzebruch–Jung spaces  $X$  [48, 29], which are Calabi–Yau resolutions of toric orbifold singularities in four dimensions. The difficulty in making these calculations rigorous is that one needs to consider torsion-free sheaves on a “stacky compactification” of  $X$ ; this variety should be a toric Deligne–Mumford stack whose coarse space is  $X$  [49]. Beyond the specific examples of ALE spaces, a rigorous construction of moduli spaces of framed sheaves on these stacks is currently unknown. See [41] for further analysis of these moduli spaces.

The decomposition (4.1) has a gauge theory analog – it represents the factorization of the moduli space of rank one torsion free sheaves on  $X$  into a product of the Picard lattice of line bundles (generated by torically invariant divisors) with the Hilbert schemes of points (ideal sheaves) on  $X$ . Embedding the space of bundles with anti-self-dual gauge connections into the space of semi-stable torsion free sheaves gives a well-defined smooth compactification of the instanton moduli space, which is naturally identified with a space of noncommutative instantons, as described in the next section. However, in contrast to our previous models, here the melting crystal and gauge theory problems are *not* identical in four dimensions; the relation between the two enumerative problems is described in [44]. This can be immediately seen in the example of ALE spaces, which are resolutions of  $A_n$  singularities  $\mathbb{C}^2/\mathbb{Z}_{n+1}$ . The toric geometry for  $n = 2$  is depicted in Fig. 5. For  $n = 1$  the combinatorial rules (4.2) give the melting crystal partition

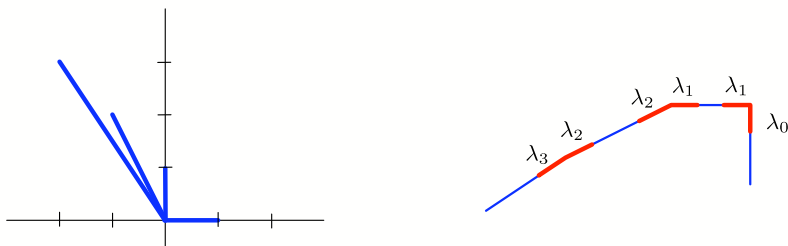


Fig. 5. Toric diagram for the  $A_2$  ALE space, and its dual fan.

function

$$Z_{\text{crystal}}(A_1) = \frac{1}{\hat{\eta}(q)^2} \sum_{\lambda=0}^{\infty} q^{\lambda^2} Q^{\lambda} ,$$

whereas the gauge theory instanton expansion is given by

$$Z_{\text{gauge}}(A_1) = \frac{1}{\hat{\eta}(q)^2} \sum_{u=-\infty}^{\infty} q^{-\frac{1}{4}u^2} Q^u .$$

### 5. Noncommutative instantons

In this final section we explain some details of the constructions of noncommutative instantons and their moduli spaces, which played a prominent role in the previous sections. We first describe the instanton contributions to the six-dimensional cohomological gauge theory, and demonstrate that they correctly reproduce the melting crystal model in three dimensions. Then we analyse the instanton moduli space in four dimensions, where an explicit construction is possible [50].

#### 5.1. Noncommutative gauge theory

Using the enveloping algebra of the Heisenberg algebra (3.2), we regard all fields as operators on a separable Hilbert space and turn the six-dimensional cohomological gauge theory into a noncommutative gauge theory following the standard prescription (see *e.g.* [51]). For this, we represent the complex combinations  $z_a = x^{2a-1} - i x^{2a}$  and  $\bar{z}_a = x^{2a-1} + i x^{2a}$  for  $a = 1, 2, 3$  as destruction and creation operators on a three-particle Fock space in the number basis

$$\mathcal{H} = \mathbb{C}[\bar{z}_1, \bar{z}_2, \bar{z}_3] |0, 0, 0\rangle = \bigoplus_{i,j,l=0}^{\infty} \mathbb{C} |i, j, l\rangle . \quad (5.1)$$

Introduce the covariant coordinates

$$X^i = x^i + i \theta^{ij} A_j \quad \text{and} \quad Z_a = \frac{1}{\sqrt{2}} (X^{2a-1} + i X^{2a}) .$$

Using the Heisenberg algebra (3.2) we can represent derivative operators as inner derivations on the noncommutative algebra of fields; then the covariant coordinates transform homogeneously under gauge transformations. In particular, the field strength tensor of the gauge potential becomes a commutator of covariant coordinates, and the instanton equations (3.1) become

$$[Z_a, Z_b] = 0 \quad \text{and} \quad [Z_a, \bar{Z}_a] = 3 . \quad (5.2)$$

This is the primary technical advantage of the noncommutative deformation – it turns the first order partial differential equations (3.1) into algebraic equations.

Up to gauge equivalence, the vacuum state  $F_A = 0$  is given by harmonic oscillator algebra  $Z_a = z_a$ . Non-vacuum solutions of (5.2) give fluctuations  $A_i \neq 0$  around the noncommutative spacetime and hence noncommutative instantons. The standard prescription for obtaining the general solution starting from the vacuum field configuration is to fix  $n \geq 1$ , and let  $U_n$  be a partial isometry on  $\mathcal{H}$  projecting out all states  $|i, j, l\rangle$  with particle number  $i + j + l < n$ . We then make the ansatz  $Z_a = U_n z_a f(N) U_n^\dagger$ . The function  $f(N)$  of the number operator  $N = \bar{z}_a z_a$  is found by substituting this ansatz into the instanton equations (5.2) to generate a quadratic recursion relation for it, which has a unique solution once initial conditions are specified; the explicit form of  $f(N)$  can be found in [42]. The resulting instanton has topological charge

$$k = -\frac{i}{6} \operatorname{Tr}_{\mathcal{H}}(F_A \wedge F_A \wedge F_A) = \frac{1}{6} n(n+1)(n+2)$$

equal to the number of states in  $\mathcal{H}$  with  $N < n$ , *i.e.* that are removed by  $U_n$ .

To identify the instanton contributions to the gauge theory partition function, we note that  $U_n$  identifies the full Fock space (5.1) with the subspace

$$\mathcal{H}_{\mathcal{I}} = \bigoplus_{f \in \mathcal{I}} f(\bar{z}_1, \bar{z}_2, \bar{z}_3) |0, 0, 0\rangle,$$

where  $\mathcal{I} = \mathbb{C}\langle w_1^i w_2^j w_3^l \mid i + j + l \geq n \rangle$  is a monomial ideal of codimension  $k$  in the polynomial algebra  $\mathbb{C}[w_1, w_2, w_3]$ ; it defines a plane partition

$$\pi = \{(i, j, l) \mid i, j, l \geq 1, w_1^{i-1} w_2^{j-1} w_3^{l-1} \notin \mathcal{I}\}$$

with  $|\pi| = k$  boxes. Up to perturbative contributions from the empty Young diagram  $\pi = \emptyset$ , the noncommutative instanton contributions thus reproduce the expected MacMahon function  $Z_{\mathbb{C}^3} = M(q)$  with  $q = e^{-g_s}$ . For a generic toric Calabi–Yau threefold  $X$ , the corresponding field configurations are instantons sitting on top of each other at the origin of  $\mathbb{C}^3$ , with asymptotes to four-dimensional instantons along the three coordinate axes. Patching these local contributions together then yields the three-dimensional crystal partition function  $Z_X$ ; see [11, 42] for details.

A completely analogous construction works for noncommutative instantons in four dimensions. They sit at the origin in  $\mathbb{C}^2$  and now correspond to ordinary Young tableaux, with asymptotes along the two coordinate axes to magnetic monopoles in two dimensions. The associated picture of gravitational quantum foam is elucidated in detail in [52]. However, as mentioned before, in this case the instanton contributions fail to reproduce the two-dimensional crystal partition function.



## 5.2. Instanton moduli spaces

For the remainder of this paper we restrict to the four-dimensional case and examine the problem of constructing explicitly both the instanton moduli spaces, and the associated instanton gauge connections. For this, we compactify the affine space  $\mathbb{C}^2$  to the complex projective space  $\mathbb{P}^2$ . The crystal partition function in this case is [44]

$$Z_{\text{crystal}}(\mathbb{P}^2) = \frac{1}{\hat{\eta}(q)^3} \sum_{\lambda_1, \lambda_2, \lambda_3 \in \mathbb{Z}_{\geq 0}} q^{\frac{1}{2}(\lambda_1 + \lambda_2 + \lambda_3)^2 + \frac{3}{2}(\lambda_1 + \lambda_2 + \lambda_3)} Q^{\lambda_1 + \lambda_2 + \lambda_3},$$

while the instanton partition function is given by

$$Z_{\text{gauge}}(\mathbb{P}^2) = \frac{1}{\hat{\eta}(q)^3} \sum_{u \in \mathbb{Z}} q^{-\frac{1}{2}u^2} Q^u.$$

We will now construct the noncommutative projective plane  $\mathbb{P}_\theta^2$  [40]. For each maximal cone  $\sigma_i$  we first construct the left  $H_F$ -comodule algebras  $A(U_\theta[\sigma_i])$  dual to affine varieties which are each a copy of the noncommutative affine plane, *i.e.*  $U_\theta[\sigma_i] \cong \mathbb{C}_\theta^2$  for  $i = 1, 2, 3$ . The edges yield affine spaces  $U_\theta[\sigma_i \cap \sigma_{i+1}]$  which are each a copy of the noncommutative projective line  $\mathbb{P}_\theta^1$  dual to the polynomial algebra in two generators  $w_1, w_2$  with relations

$$w_1 w_2 = q^2 w_2 w_1 \quad \text{and} \quad w_1 w_2^{-1} = q^{-2} w_2^{-1} w_1,$$

where  $q := q_{12}$ . The gluing morphisms can be summarized in the diagram

$$\begin{array}{ccccc}
 & & \mathbb{C}_\theta[t_1^{-1}, (t_1 t_2^{-1}), (t_1 t_2^{-1})^{-1}] & & \\
 & \nearrow & \downarrow & \nwarrow & \\
 \mathbb{C}_\theta[t_1^{-1}, t_1^{-1} t_2] & \xrightarrow{\quad} & \mathbb{C}_\theta(t_1, t_2) & \xleftarrow{\quad} & \mathbb{C}_\theta[t_1 t_2^{-1}, t_2^{-1}] \\
 \downarrow & \nearrow & \uparrow & \nwarrow & \downarrow \\
 \mathbb{C}_\theta[t_1, t_1^{-1}, t_2] & \xleftarrow{\quad} & \mathbb{C}_\theta[t_1, t_2] & \xrightarrow{\quad} & \mathbb{C}_\theta[t_1, t_2, t_2^{-1}]
 \end{array}$$

which describes the noncommutative toric geometry of the projective plane. The Laurent algebra here is dual to the cone point of  $\mathbb{P}_\theta^2$ , the polynomial algebras in two variables represent the torus invariant open “patches”, while the polynomial algebras in three generators correspond to the divisors joining patches.

To be able to proceed further, we need a more global notion of a noncommutative toric variety provided by some analog of a homogeneous coordinate

algebra. In general, this is difficult to define in a manner which is compatible with the combinatorial fan construction. However, an explicit construction is possible for noncommutative projective spaces, and hence for noncommutative *projective* toric varieties [40]; the resulting homogeneous coordinate algebras are equivalent to those defined in [53].

For the noncommutative projective plane, this is the polynomial algebra  $A = \mathbb{C}_\theta[w_1, w_2, w_3]$  in three generators with the relations

$$w_1 w_2 = q^2 w_2 w_1 \quad \text{and} \quad w_i w_3 = w_3 w_i \quad (5.3)$$

for  $i = 1, 2$ ; the particular choice of  $w_3$  as central element is immaterial [40]. It is graded by polynomial degree and hence  $A$  defines a *graded* algebra object of the category  ${}^{H_F}\mathcal{M}$ ; this grading is crucial for the ensuing constructions. Each element  $w_i$  for  $i = 1, 2, 3$  generates a left denominator set in  $A$ , and there is a natural algebra isomorphism between the degree 0 left Ore localization  $A[w_i^{-1}]_0$  of the algebra  $A$  at each generator and the algebra  $A(U_\theta[\sigma_i])$ ; hence this gives an equivalent description of the noncommutative projective plane  $\mathbb{P}_\theta^2$  defined above. The graded algebra surjection  $A \rightarrow A_\infty := A/A \cdot w_3$  defines a noncommutative line  $\mathbb{P}_\theta^1 \hookrightarrow \mathbb{P}_\theta^2$  “at infinity”; it is described by setting  $w_3 = 0$  in the relations (5.3).

We use the standard correspondences of noncommutative algebraic geometry. Finitely-generated graded right  $A$ -modules  $M$  correspond to “coherent sheaves” on  $\mathbb{P}_\theta^2$ . If such a module  $M$  is projective then it is thought of as a “bundle”. If  $M$  is torsion-free, *i.e.* it contains no finite-dimensional submodules, then  $M$  embeds in a bundle. These identifications are possible and lead to well-defined constructions because the homogeneous coordinate algebras  $A$  have nice “smoothness” properties – they are Artin–Schelter regular algebras of global homological dimension 3 [40, 53].

We are finally ready to construct the instanton moduli spaces  $\mathbf{M}_\theta(r, k)$ , following [50]. For this, we note that any  $A$ -module  $M$  naturally induces an  $A_\infty$ -module  $M_\infty = M/M \cdot w_3$ . We say that  $M$  is a *framed module* if  $M_\infty$  can be trivialized, *i.e.* it is isomorphic to a free  $A_\infty$ -module. There is a natural notion of isomorphism for framed modules. We define  $\mathbf{M}_\theta(r, k)$  to be the set of isomorphism classes of framed torsion-free  $A$ -modules with fixed trivialization  $M_\infty \cong (A_\infty)^{\oplus r}$  and with  $\dim_{\mathbb{C}} \text{Ext}^1(A, M(-1)) = k$ , where  $M(-1)$  denotes the graded  $A$ -module  $M$  with its degrees shifted by  $-1$ .

Torsion-free graded  $A$ -modules generally have natural invariants associated to them. The *rank* of  $M$  is the maximum number of non-zero direct summands of  $M$ ; for  $M \in \mathbf{M}_\theta(r, k)$  one has  $\text{rank}(M) = r$ . Furthermore, the sum

$$\chi(M) = \sum_{p \geq 0} (-1)^p \dim_{\mathbb{C}} \text{Ext}^p(A, M)$$

in this case is well-defined and is called the *Euler characteristic* of  $M$ ; one can show that  $\chi(M) = r - k$  for  $M \in \mathbf{M}_\theta(r, k)$ . There is also a notion of first Chern class  $c_1(M)$  [50], but we do not need this here since  $c_1(M) = 0$  for framed modules. However, at this purely algebraic level there is no notion of second Chern class, hence we use the Euler characteristic instead to characterize the “instanton number”  $k$ .

### 5.3. Noncommutative ADHM construction

We shall now give an equivalent characterization of the instanton moduli space  $\mathbf{M}_\theta(r, k)$  in terms of linear algebraic data. Introduce matrices  $B_1, B_2 \in \text{Mat}_{k \times k}(\mathbb{C})$ ,  $I \in \text{Mat}_{k \times r}(\mathbb{C})$  and  $J \in \text{Mat}_{r \times k}(\mathbb{C})$  satisfying the following two conditions:

- The *noncommutative complex ADHM equation*

$$[B_1, B_2]_\theta + I J = 0 ,$$

where

$$[B_1, B_2]_\theta := B_1 B_2 - q^{-2} B_2 B_1$$

is the *braided commutator* which is naturally induced by the twist quantization functor.

- The *stability* condition: There are no non-trivial invariant subspaces  $0 \neq V \subsetneq \mathbb{C}^k$  with  $B_i(V) \subset V$  and  $\text{im}(I) \subset V$ .

Then there is a bijection between the instanton moduli space  $\mathbf{M}_\theta(r, k)$  and the set of matrices  $\{B_1, B_2, I, J\}$  obeying these two conditions modulo the natural action of the gauge group  $GL(k, \mathbb{C})$  given by

$$B_i \mapsto g B_i g^{-1} , \quad I \mapsto g I \quad \text{and} \quad J \mapsto J g^{-1} \quad (5.4)$$

for  $g \in GL(k, \mathbb{C})$ . The stability condition ensures that this group action is free and proper, hence the quotient is well-defined in the sense of geometric invariant theory. This theorem is proven analogously to the commutative case by constructing natural spaces of deformed monads in the category  ${}^{H_F}\mathcal{M}$  on both sides of the correspondence and proving that they are in a one-to-one correspondence. Details can be found in [50]; there it is also described to what extent this bijection is an isomorphism of schemes by considering the moduli spaces as occurring in families.

#### 5.4. Noncommutative twistor transform

We will close by briefly discussing to what extent the isomorphism classes in the instanton moduli space  $M_\theta(r, k)$  can be regarded as noncommutative gauge connections obeying some form of anti-self-duality equations. This can be achieved by constructing a noncommutative version of the twistor correspondence for instantons. We begin by defining the noncommutative Klein quadric  $\mathbb{G}r_\theta(2; 4) \hookrightarrow \mathbb{P}_\Theta^5$  which is a special instance of the construction of noncommutative Grassmann varieties given in [40]. Consider the exterior algebra  $\bigwedge^2 \mathbb{C}^4$  of a four-dimensional vector space which is a left  $H$ -comodule. It can be naturally regarded as a left  $H$ -comodule algebra, and accordingly the braided exterior algebra  $\bigwedge_\theta^2 \mathbb{C}^4$  can be regarded as an object in the category  ${}^H_F \mathcal{M}$ , defined in the usual way by cocycle twist quantization. It is spanned by minors  $\Lambda^J$  labelled by 2-indices  $J = (j_1 j_2)$  with  $1 \leq j_1, j_2 \leq 4$  and satisfying the relations

$$\Lambda^J \Lambda^K = q_{j_1 k_1}^2 q_{j_1 k_2}^2 q_{j_2 k_1}^2 q_{j_2 k_2}^2 \Lambda^K \Lambda^J .$$

There are two constraints that must be satisfied. Firstly, to ensure existence of the embedding  $\mathbb{G}r_\theta(2; 4) \hookrightarrow \mathbb{P}_\Theta^5 \cong \mathbb{P}(\bigwedge_\theta^2 \mathbb{C}^4)$  we must regard these minors as homogeneous coordinates on the noncommutative projective space, which imposes the consistency condition on the deformation parameters

$$\Theta^{JK} = \theta^{j_1 k_1} + \theta^{j_1 k_2} + \theta^{j_2 k_1} + \theta^{j_2 k_2}$$

and hence restricts the allowed ambient varieties  $\mathbb{P}_\Theta^5$  (contrary to the commutative case). Secondly, we must restrict the homogeneous coordinates  $\Lambda^J$  of the projective space to the embedding  $\mathbb{G}r_\theta(2; 4)$ . This constraint is imposed via noncommutative Laplace expansions of the minors, and in this case leaves only one non-trivial relation, the quadratic *noncommutative Plücker relation* [40, 50]

$$\Lambda^{(12)} \Lambda^{(34)} - q_{13} q_{21} q_{23}^2 q_{24} \Lambda^{(13)} \Lambda^{(24)} + q_{14} q_{21} q_{23} q_{24}^2 q_{34} \Lambda^{(14)} \Lambda^{(23)} = 0 .$$

From this noncommutative Grassmannian we can construct a noncommutative sphere  $S_\theta^4$ . For this, we restrict the deformation parameters to  $q_{12} = q_{21}^{-1} =: q \in \mathbb{R}$  and  $q_{ij} = 1$  otherwise. We then define  $A(S_\theta^4)$  to be the  $\mathbb{R}$ -algebra generated by the  $*$ -involution on  $A(\mathbb{G}r_\theta(2; 4))$  given by

$$\begin{aligned} \Lambda^{(13)\dagger} &= q \Lambda^{(24)} & \text{and} & & \Lambda^{(14)\dagger} &= -q^{-1} \Lambda^{(23)} , \\ \Lambda^{(12)\dagger} &= \Lambda^{(12)} & \text{and} & & \Lambda^{(34)\dagger} &= \Lambda^{(34)} . \end{aligned}$$

We can describe this sphere patchwise on its northern and southern hemispheres. For example, it contains a copy of the noncommutative Euclidean four-space defined as the open affine subvariety  $\mathbb{R}_\theta^4 \subset S_\theta^4$  given by the

degree 0 right Ore localization  $A(\mathrm{Gr}_\theta(2;4))[\Lambda^{(34)} - 1]_0$ . This algebra is isomorphic to the polynomial algebra  $\mathbb{C}[\xi_1, \bar{\xi}_1, \xi_2, \bar{\xi}_2]$  with generators obeying the relations

$$\begin{aligned} \xi_1 \bar{\xi}_1 &= q^2 \bar{\xi}_1 \xi_1 & \text{and} & & \xi_2 \bar{\xi}_2 &= q^{-2} \bar{\xi}_2 \xi_2 , \\ \xi_1 \xi_2 &= q^2 \xi_2 \xi_1 & \text{and} & & \bar{\xi}_1 \bar{\xi}_2 &= q^{-2} \bar{\xi}_2 \bar{\xi}_1 , \\ \xi_1 \bar{\xi}_2 &= \bar{\xi}_2 \xi_1 & \text{and} & & \xi_2 \bar{\xi}_1 &= \bar{\xi}_1 \xi_2 , \\ \xi_1^\dagger &= q^{-1} \bar{\xi}_1 & \text{and} & & \xi_2^\dagger &= -q^{-1} \bar{\xi}_2 . \end{aligned}$$

This noncommutative four-sphere seems to be new; in particular, it is distinct from the Connes–Landi spheres which come from isospectral deformations [54] or the quantum spheres which arise as quantum homogeneous spaces associated to quantum groups [55]. The Connes–Landi spheres are uniquely singled out by their cohomology, but this does not apply to complex deformations like ours. It would be interesting to understand these spheres in further detail, by *e.g.* studying their cyclic cohomology and how they are singled out as real slices inside the noncommutative Grassmannians.

The noncommutative twistor transform is constructed by means of the twistor correspondence

$$\begin{array}{ccc} & A(\mathbb{Fl}_\theta(1, 2; 4)) & \\ p_1 \nearrow & & \nwarrow p_2 \\ A(\mathbb{P}_\theta^3) & & A(\mathrm{Gr}_\theta(2; 4)) \end{array} \quad (5.5)$$

where the algebra  $A(\mathbb{P}_\theta^3)$  of the projective three-space is the “noncommutative twistor algebra”, and  $\mathbb{Fl}_\theta(1, 2; 4)$  is the noncommutative partial flag variety which is most conveniently described via the braided tensor product [40]

$$A(\mathbb{P}_\theta^3) \otimes A(\mathrm{Gr}_\theta(2; 4)) \longrightarrow A(\mathbb{Fl}_\theta(1, 2; 4)) .$$

We will construct instantons on  $S_\theta^4$  by using the *twistor transform* which is the morphism from  $A(\mathbb{P}_\theta^3)$ -modules to  $A(\mathrm{Gr}_\theta(2; 4))$ -modules given by

$$M \longmapsto p_2^* p_{1*}(M) \quad \text{with} \quad p_{1*}(M) = \left[ M \otimes_{A(\mathbb{P}_\theta^3)} A(\mathbb{Fl}_\theta(1, 2; 4)) \right]_{\mathrm{diag}} ,$$

where the definition of pushforward along the correspondence diagram (5.5) is explained in [15].

The final ingredient required for the construction of noncommutative instantons is the notion of self-conjugate instanton modules. There is a

natural quaternion structure on the homogeneous coordinate algebra  $A(\mathbb{P}_\theta^3)$  given by  $\mathcal{J}(w_1, w_2, w_3, w_4) = (w_2, -w_1, w_4, -w_3)$ , which induces a functor  $M \mapsto M^\dagger := \mathcal{J}^\bullet(M)^\vee$  on the category of  $A(\mathbb{P}_\theta^3)$ -modules. On the noncommutative ADHM data it acts as  $(B_1, B_2, I, J) \mapsto (-B_2^\dagger, B_1^\dagger, -J^\dagger, I^\dagger)$ . In addition to the conditions spelled out before, let us further subject these matrices to the *noncommutative real ADHM equation*

$$[B_1, B_1^\dagger]_\theta + q^{-2} [B_2, B_2^\dagger]_{-\theta} + I I^\dagger - J^\dagger J = 0.$$

Then there is a bijection between the set of such matrices modulo the restriction of the gauge symmetry (5.4) to the unitary subgroup  $U(k)$ , and the space of torsion-free self-conjugate modules  $M$  on  $A(\mathbb{P}_\theta^3)$ , *i.e.*  $M \cong M^\dagger$ , with fixed framing  $M_\infty \cong (A_\infty)^{\oplus r}$  and  $\text{Ext}^1(A(\mathbb{P}_\theta^3), M(-2)) = 0$ . This correspondence is again established using noncommutative monad techniques [50].

The restriction of this bijection to the subvariety  $\mathbb{P}_\theta^2$  gives the desired construction of anti-self-dual connections on a canonical “instanton bundle”. For this, we apply the twistor transform to a self-conjugate  $A(\mathbb{P}_\theta^3)$ -module  $M$ , which gives a module over  $A(\text{Gr}_\theta(2; 4))$ . Restricting to the real subvariety  $\mathbb{R}_\theta^4$  then gives the right  $A(\mathbb{R}_\theta^4)$ -module

$$\mathcal{N} = \ker \mathcal{D} \quad \text{with} \quad \mathcal{D} = \begin{pmatrix} B_1 - q^{-1} \xi_1 & B_2 - q \xi_2 & I \\ -B_2^\dagger - q^{-1} \bar{\xi}_2 & B_1^\dagger - q \bar{\xi}_1 & -J^\dagger \end{pmatrix},$$

which follows by restriction of the derived functor of the twistor transform to  $A(\mathbb{R}_\theta^4)$ . By the stability condition, the map  $\mathcal{D}$  is a surjective morphism of free  $A(\mathbb{R}_\theta^4)$ -modules such that  $\Delta = \mathcal{D} \mathcal{D}^\dagger$  is an isomorphism, and the module  $\mathcal{N}$  is finitely-generated and projective of rank  $r$  with projector  $P = 1 - \mathcal{D}^\dagger \Delta^{-1} \mathcal{D}$ , *i.e.*  $P^2 = P = P^\dagger$ .

Using the canonically defined differential structure  $\Omega^\bullet(\mathbb{R}_\theta^4)$  obtained by deforming the classical calculus  $\Omega^\bullet(\mathbb{R}^4)$  as a left  $H$ -comodule algebra using the twisting cocycle  $F$ , we obtain the *instanton connection*  $\nabla := P \circ d$  in the usual sense of noncommutative differential geometry, with curvature  $F_A = \nabla^2 = P(dP)^2$ . The difficulty at this stage is determining what is the anti-self-duality equation that this curvature should satisfy. In the case of isospectral deformations [54], the Hodge duality operator is the same as in the classical case. This is not so in our case because our deformations are not isospectral – the algebraic torus actions are not isometries of the natural Riemannian structures on toric varieties. This suggests appealing to alternative formulations of the anti-self-duality equations (1.1). Details of all of these constructions can be found in [50].

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